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PTO IDENTIFIER: Application Number 09/963,742 - Conf. #9308

Patent Number

Inventor: Rob R. Montgomery

MESSAGE TO: US Patent and Trademark Office

FAX NUMBER: (571) 273-8300

FROM: VENABLE LLP

Ralph P. Albrecht

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Attorney Dkt. #: 35646-175183 09/963,742 - Conf. #9308

PAGES (Including Cover Sheet): 30**CONTENTS:**

Fee Transmittal

Response to Restriction Requirement (24 pages)

Petition for 2 months extension of time at small entity (1 page)

Amendment Transmittal (1 page)

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PTO/SB/97 (09-04)

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Application No. (if known): 09/963,742 - Conf. #9308

Attorney Docket No.: 35646-175183

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Fee Transmittal

Response to Restriction Requirement (24 pages)

Petition for 2 months extension of time at small entity (1 page)

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## PATENT PROSECUTION RECEIPT OF FILING

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CENTRAL FAX CENTER**137715**

FEB 01 2007

Venable Filing Number

Atty. Docket No: 35646-175183

Attorney/LAA: RPA:cja

PTO Due Date: December 1, 2006

Current Date: February 1, 2007

Title of Application:

BIDDER-SIDE AUCTION DYNAMIC PRICING AGENT, SYSTEM, METHOD  
AND COMPUTER PROGRAM PRODUCT (as amended)

Application No: 09/963,742

Filing Date: September 27, 2001

Patent No. :

Issue Date:

The following items were received from Venable LLP, Washington, D.C.,  
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## U.S. PTO FEES ENCLOSED

- ☒ Response Transmittal Letter  
☒ Fee Transmittal Form SB/17  
 New U.S. Patent Application  
 ( pages of specification/claims)  
 Rule 53(d) Continued Prosecution Application  
 Rule 53(b) Continuation or Divisional Application  
 (attach copy of specification, claims, drawings and declaration)  
 U.S. National Stage Application of PCT Application  
 Request for Continued Examination (RCE) under 37 CFR 1.114  
 Application Data Sheet  
 Substitute Specification  
 Priority Document-Cert. Copy of  
 Appln.#: ; Country: ; Date Filed:  
 Formal Drawings ( sheets, Figs.)  
 Inventor Declaration  
 Assignment w/Cover Sheet  
 Response to Notice to File Missing Parts  
 Response to Notice to File Missing Requirements  
☒ Response to Restriction Requirement  
 Information Disclosure Statement with cited references  
 Response  
 Amendment Preliminary Amendment  
☒ Petition/Request for Extension of Time (2-mo. ext.)  
 Power of Attorney  
 Petition to Revive  
 Sequence Listing - CDR Enclosed? Yes No  
 Request for Non-Publication  
 Reply Brief (in triplicate) / Request for Oral Hearing  
 Confirmation of Hearing Petition  
 Issue Fee Transmittal  
 Certificate of Correction  
 Maintenance Fee Transmittal  
 Status Inquiry  
☒ Other: (Please describe below)  
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Filing Fee  
 Surcharge Fee  
 Additional Claim Fee  
 (Small Entity) 75.00  
 Recordation/Indexing Fee  
 IDS Fee  
 Extension Fee  
 (Small Entity) 225.00  
 Notice of Appeal Fee  
 Brief on Appeal  
 Oral Hearing Request Fee  
 Petition Fee  
 Issue Fee  
 Publication Fee  
 Maintenance Fee  
 Other Fees (Describe)  
 300.00 Total Fees Paid

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PTO/SB/17 (07-06)

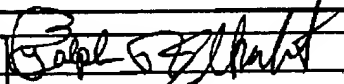
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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). <b>FEE TRANSMITTAL</b> <b>For FY 2006</b>		<b>Complete if Known</b> Application Number 09/963,742-Conf. #9308 Filing Date September 27, 2001 First Named Inventor Rob R. Montgomery Examiner Name Akintola, Olabode Art Unit 3691 Attorney Docket No. 35646-175183	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) <b>300.00</b>			

<b>METHOD OF PAYMENT</b> (check all that apply)							
<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> None	<input type="checkbox"/> Other (please identify):			
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <u>22-0261</u> Deposit Account Name: <u>Venable LLP</u>							
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)							
<input checked="" type="checkbox"/> Charge fee(s) indicated below				<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee			
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17				<input checked="" type="checkbox"/> Credit any overpayments			
<b>FEE CALCULATION</b>							
<b>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</b>							
	<b>FILING FEES</b>		<b>SEARCH FEES</b>		<b>EXAMINATION FEES</b>		
		<u>Small Entity</u>		<u>Small Entity</u>		<u>Small Entity</u>	
<b>Application Type</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fees Paid (\$)</b>
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
							<b>Small Entity</b>
							<b>Fee (\$)</b>
<b>2. EXCESS CLAIM FEES</b>							<b>Fee (\$)</b>
<b>Fee Description</b>							<b>Small Entity</b>
Each claim over 20 (including Reissues)							50
Each independent claim over 3 (including Reissues)							200
Multiple dependent claims							360
<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>	<b>Multiple Dependent Claims</b>			
23	- 20 = 3	x 25.00 =	75.00	<b>Fee (\$)</b>		<b>Fee Paid (\$)</b>	
HP = highest number of total claims paid for, if greater than 20.							
<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>				
2	- 6 = 0	x 0 =	0				
HP = highest number of independent claims paid for, if greater than 3.							
<b>3. APPLICATION SIZE FEE</b>							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>			
	- 100 =	/50	(round up to a whole number) x				
							<b>Fees Paid (\$)</b>
<b>4. OTHER FEE(S)</b>							
Other (e.g., late filing surcharge): <u>1252 Extension for response within second month</u>							<u>225.00</u>

<b>SUBMITTED BY</b>			
Signature		Registration No. (Attorney/Agent)	43,466
Name (Print/Type)	Ralph P. Albrecht	Telephone	(703) 760-1681
		Date	2/1/2007

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AMENDMENT TRANSMITTAL LETTER				Docket No. 35646-175183	
Application No. 09/963,742-Conf. #9308		Filing Date September 27, 2001		Examiner Akintola, Olabode	
				Art Unit 3691	

Applicant(s): Rob R. Montgomery

Invention: **BIDDER-SIDE AUCTION DYNAMIC PRICING AGENT, SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT (as amended)**

**TO THE COMMISSIONER FOR PATENTS**

Transmitted herewith is an amendment in the above-identified application.  
The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	23	- 20 =	3	x 25.00	75.00
Independent Claims	2	- 6 =	0	x 0.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify): Extension for response within second month					225.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					<b>300.00</b>

☐ Large Entity ☒ Small Entity

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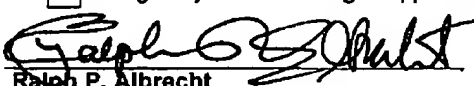
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☒ The Director is hereby authorized to charge and credit Deposit Account No. 22-0261  
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☒ Credit any overpayment.

☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

  
Ralph P. Albrecht  
Attorney/Agent Reg. No.: 43,466

Dated: 2/1/07

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